PTO/SB/21 (09-04)

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TO A MOSSITT A L	Application Number	10/661,037	
TRANSMITTAL FORM	Filing Date .	September 12, 2003	
	First Named Inventor	John D. Hyde	
	Art Unit	2822	
d for all correspondence after initial filir	Examiner Name	Ida M. Soward	
of Pages in This Submission	16 Attornov Docket Number	IMP I 0003D1	

(to be used for all correspondence after initial filing)		Examiner Name		Ida M. Soward				
Total Number of Pages in This Submission 16		Attorney Docket N	lumber	IMPJ-000	3D1			
	ENCLO	SURES (check all the	at apply)					
Fee Transmittal Form	☐ Drawing(s	☐ Drawing(s)			After Allowance Communication to TC			
Fee Attached	Licensing	Licensing-related Papers			Appeal Communication to Board of Appeals and Interferences			
Amendment / Reply	Petition	Petition			Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)			
After Final		Petition to Convert to a Provisional Application			Proprietary Information			
Affidavits/declaration(s)		Attorney, Revocation of Correspondence Add	dress	Status Letter				
Extension of Time Request	Terminal	Terminal Disclaimer			Other Enclosure(s) (please identify below):			
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Reply to Missing Parts/								
Incomplete Application			-	•				
Reply to Missing Parts under 37 CFR1.52 or 1.53	3							
	SIGNATURE OF	APPLICANT, ATTO	RNEY, O	R AGENT				
Firm	Thelen Reid &	Priest LLP						
Signature	N/	İ.						
Printed Name	David B. Ritchie	e						
Date September 30, 2		2005	Reg. No.	31,562				
	CERTIFICA	TE OF TRANSMISS	SION/MAI	LING				
I hereby certify that this corresponding Service with sufficient postage at Alexandria, VA 22313-1450 on the	as first class mail i	in an envelope addre						
Signature	iansis							
Typed or printed name Moni	ica Pizarro	· · · · · · · · · · · · · · · · · · ·		Date	September 30, 2005			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Fee Paid (\$)

Fee (\$)

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Effective on 12/08/2004. Sees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).		Complete if Known			
		Application Number	10/661,037		
FEE TRANS	SWILLAL	Filing Date	September 12, 2003		
for FY 2	2005	First Named Inventor	John D. Hyde		
Applicant claims small entity s	tatus. See 37 CFR 1.27	Examiner Name	lda M. Soward		
TOTAL AMOUNT OF PAYMENT	(\$) 400.00	Art Unit	2822		
		Attorney Docket No.	IMPJ-0003D1		
METHOD OF PAYMENT (check	all that apply)				
Check Credit Card M	Ioney Order  None	Other (please identif	· ÿ):		
Deposit Account Deposit Acco	unt Number: 50-1698	Deposit Acc	ount Name: Thelen Reid & Priest LLP		
For the above-identified de	eposit account, the Director is	hereby authorized to:	(check all that apply)		
Charge fee(s) indica	ated below	Charge fee(s) indicated below, except for the filing fee			
Charge any addition Under 37 CFR 1.16	nal fee(s) or underpayments of	of fee(s)	dit any overpayments		

#### **FEE CALCULATION**

information and authorization on PTO-2038.

. BASIC FILING, SEARCH, AND EXAMINATION FEES								
	FILING FEES			SEARCH FEES		ATION FEES		
	3	Small Entity		Small Entity		Small Entity		
Application Type	Fee (\$)	Fee(\$)	<u>Fee(\$)</u>	<u>Fee(\$)</u>	<u>Fee(\$)</u>	<u>Fee(\$)</u>	Fees Paid (\$)	
Utility	300	150	500	250	200	100	-	
Design	200	100	100	50	130	65		
Plant	200	100	300	150	160	80		
Reissue	300	150	500	250	600	300		
Provisional	200	100	0	0	0	0		

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**Small Entity** 2. EXCESS CLAIM FEES **Fee Description** Fee (\$) Fee (\$) 50 25 Each claim over 20 (including Reissues) Each independent claim over 30 (including Reissues) 200 100 180 Multiple dependent claims 360 **Total Claims Extra Claims** Fee Paid (\$) Multiple Dependent Claims Fee(\$)

-20 or HP= HP = highest number of total claims paid for, if greater than 20. Indep. Claims **Extra Claims** Fee(\$) Fee Paid (\$) 2 200 400 - 3 or HP=

HP = highest number of independent claims paid for, if greater than 3.

### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$) - 100 = \_\_\_\_ / 50 = \_\_\_\_ (round up to a whole number) x 4. OTHER FEE(S) Fees Paid (\$)

Non-English Specification, \$130 fee (no small entity discount) Other (e.g., late filing surcharge):

SUBMITTED BY			<del>-</del>	
Signature	<b>/</b> V\/	Registration No. (Attorney/Agent) 31,562	Telephone	408.292.5800
Name (Print/Type)	David B. Ritchie	*	Date	September 30, 2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.135, Intermormation is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Docket No.: IMPJ-0003D1



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PPLICANT:

John D. Hyde et al.

SERIAL NO.:

10/661,037

FILING DATE:

September 12, 2003

TITLE:

pFET Synapse Transistor With Structure For Facilitating Charge Injection

and/or Tunneling With Respect to a Floating Gate

**EXAMINER:** 

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ART UNIT:

2822

## **CERTIFICATE OF MAILING**

I hereby certify that this paper is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450, on the date printed below:

Date:

9/30/05

Name:

Monica Pizarro

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### AMENDMENT AND RESPONSE TO OFFICE ACTION

Sir:

This paper is responsive to the Office Action mailed **June 30, 2005**. Please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims, which begins on page 2 of this paper.

Remarks begin on page 9 of this paper.

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